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(54) Title: CO-BEADLET OF DHA AND ROSEMARY AND METHODS OF USE

(57) Abstract: The present invention provides improved beadlet formulations useful for inclusion in dietary supplements customized for improving and maintaining ocular nutrition. In particular, the improved beadlets comprise DHA (docosahexaenoic acid); rosemary and/or its components; and excipients.



CO-BEADLET OF DHA AND ROSEMARY AND METHODS OF USE

BACKGROUND OF THE INVENTION

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supplements to maintain or improve ocular health. The present invention relates to the field of nutraceuticals and to the use of dietary

10 2. Description of the Related Art

caps ingredients and may be blended and compressed into tablets or filled into capsules or gel supplements are formulated with beadlets, which may function as carriers for the nutritional variety of vitamins, minerals, and herbal or other organic constituents. generally consumed in the form of powders, tablets, capsules or gel-caps and comprise a Supplements (Alcon Laboratories, Inc., Fort Worth, TX). Dietary supplements are improvement of vision or prophylaxis of vision loss. An example of dietary supplements useful in improving ocular nutrition and promoting healthy eyes are the ICaps® Dietary Dietary supplements are recommended for a variety of reasons including the Some dietary

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external ingredients. separation can isolate those components with the potential for interaction or reaction and thereby improve the stability and/or the availability of either entrapped or complementary from complementary (external) ingredients within the dietary supplement. This type of about a millimeter in diameter. There are a variety of functions and purposes of beadlets. For example, beadlets may provide for the separate confinement (internal) and segregation Beadlets which contain dietary substances are generally small spheroids of less than ingredients may be combined into Ø beadlet with a

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controlling distribution availability, flavor, or odor and shelf-life and dosage uniformity stability of acidulants, bioavailability. More generally, the food industry has utilized microencapsulation to control utilized agents, and control of moisture, bacterial growth, and bioburden. The chemical industry has aromas, nutritional oils and supplements, vitamins, minerals, dietary fibers, or leavening complementary the technology component which facilitates digestion for numerous applications from controlling reaction rates to ç absorption improving

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have been disclosed in U.S. Patent Nos. 4,670,247 (Scialpi) and 3,998,753. 4,254,100 (Keller et al.) and 3,998,753 (Antoshkiw et al.). Methods of beadlet manufacture specialized manufacturers, biotechnology companies and research institutes; and from ingredient or supplement manufacturers, chemical or pharmaceutical manufacturers, applications independent Various beadlet compositions are known and can be obtained from a number of food have been the subject of university laboratories. Particular beadlet compositions for nutritional numerous patents including U.S. Patent Nos.

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technologies, providing a coated monolithic active carrier polymeric, coating to a monolithic matrix in which a final porous, commonly polymeric, capsular reservoir in which a particle, like an oil droplet, is coated with a thin, generally instances, such as the technology to be claimed, it has been necessary to combine the two structure is generated that can accommodate an active component in its interstices. In some Microencapsulation can be accomplished in one of several manners, from a simple

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of the same class are refined from a particular source, for example a major component with the use of inert food-grade ingredients and excipients determined to be safe and effective complementary to a single nutritional compound. In other instances, when molecules Current beadlet compositions used in dietary supplements generally are restricted to

canthaxanthin, and astaxanthin; carotenoids and retinoid alone or in combination, , such as minerals such as iron and sodium bicarbonate; xanthophylls such as lutein, zeaxanthin, formulated in Retoxil® Dietary Supplements. Examples of ingredients benefiting from pseudo-single-component beadlets, and there are examples in the market place, e.g., beta-carotene, lycopene, and retinol. beadlet confinement have included natural vitamins such as Vitamins A, Lutrinol® and FloraCLO® beadlets, which are a combination of lutein and zeaxanthin as may not necessarily be isolated but mixed together in a beadlet. These may be considered a minor related constituent, and both compounds produce parallel effects, such molecules D, E, and K;

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aimed at treating or preventing mammalian diseases or disorders. The '472 application does not discuss the use of DHA or rosemary alone or in any combination. antioxidants, and one or more solidifying agents. The beadlets of the '472 application are more than one active ingredient. More specifically, those applications are directed to a incorporated by reference) and related foreign applications directed to beadlets containing beadlet containing one or more xanthophylls, one or more carotenes/retinoids, one or more Pending U.S. patent application Serial No. 09/397,472 (the '472 application, herein

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stable, which does not deteriorate over the shelf life of the product and which can withstand that the beadlets themselves, after a short period of time, developed an unpleasant marine previous attempts to create a beadlet containing DHA and produce a tablet from the beadlets the compressive forces associated with manufacture, and especially of tableting have resulted in a tablet with a prominent unpleasant marine odor. Thus, what is needed is a beadlet formulation that is both substantially odorless and The preferred form of administering such compositions is the tablet. Further, it was found Unfortunately,

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SUMMARY OF THE INVENTION

dietary supplements customized for improving and maintaining ocular nutrition particular, the improved beadlets comprise DHA (docosahexaenoic acid); rosemary and/or providing improved beadlet formulations useful for inclusion in dietary supplements. components; and excipients. The present invention overcomes these and other drawbacks of the prior art The beadlets are particularly useful for incorporation in Š 1

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are particularly directed to the enhancement of ocular health and the prophylaxis of retinal dietary supplements for improving nutritional health. The methods of the present invention ocular health. the improved beadlets. Preferred dietary supplements have been formulated as an aid to disorders, including age-related macular degeneration The present invention is also directed to improved dietary supplements comprising The present invention is also directed to methods of using the beadlets and

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enhancement of ocular nutrition and improved ocular health. substances and also provide ease in modifying compositions containing DHA and rosemary supplements within the supplement. Such improvements are believed to be particularly useful in the supplementation. application of the beadlet technology of the present invention to dietary provides, Such technology may aid in increasing bioavailablity of the dietary and facilitates development of, enhanced nutritional

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S about 7% to about 25% and a concentration of rosemary of from about 2% to about 20% to about 50%. More preferably, the beadlet will contain a concentration of DHA of from the rosemary will be present in the beadlet in a concentration of preferably from about 0.1% present in the beadlet in a concentration of preferably from about 0.1% to about 50% and coating containing In certain preferred embodiments, the present invention provides a cobeadlet and/or docosahexaenoic acid (DHA) and/or rosemary. The DHA will be

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odorous agents or their byproducts from the inside, generally consists of a physically or such as rosemary to mask odors or prevent chemical dergradation. chemically crosslinked or associated polymer and excipients, and may also include an agent The coating, which serves as a barrier to oxidation from the outside and to escape

0.4% and so on, 1.0%, 1.1%, 1.2% and so on, 5.0%, 5.1%, 5.2% ... 5.7%, 5.8%, 5.9% and 0.1% to 50%, etc.) include all points in between said range. so on up to and including 20%, 25%, 30%, 40%, etc. It will be understood by the skilled artisan that the percentage ranges above That is, it includes 0.2%, 0.3%

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beadlets not having the combination of DHA and rosemary of the present invention "odorless" means that the beadlets of the invention have virtually no odor. Depending on odor, and some may detect more than a slight odor. The term odorless as used herein is not meant to require that all beadlets are completely without odor but to require that the beadlets the sensitivity of the nose, of course, some may detect no odor, some may detect a slight substantially without odor. The beadlets of the invention are virtually odorless. That is, the odor is substantially diminished compared to As used herein, the term

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present invention is without any significant initial odor, e.g. that from fermentation of algae oil, borage, eggs or organ meats. Most preferably, the DHA for use in the beadlets of the from fermentation of algae, but could also be derived from flaxseed or soybean or canola The DHA for use in the beadlets of the present invention may come from fish oil or

medium in which they do not dissolve. soluble polymers, utilized to stabilize microparticles of the active agent(s) suspended in typically consist of at least one surface-active agent, such as phospholipids and watermatrix. The beadlets of the invention may be in the form of capsular reservoir or monolithic Capsular reservoirs for an oily active, such as those of the current invention, The coating may be any film-forming type of

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compatibility with the active component(s) and selected/required excipients gelatin), gluten, polyesters, starch, lactide-glycolide copolymers, waxes, etc. One of skill in coating material, such as carbohydrates (acacia and cellulose derivatives and dextrans to easily select appropriate coatings based on their properties and their

preferred aspect, the core may be generated with acrylates comprise a monolithic matrix while the remainder of the beadlet is a capsular reservoir. polymerization, or by simple drying. In certain embodiments, the core of the carrier may formed by While the monolithic matrix may be formed using any known method, it will generally be Monolithic matrices essentially trap the active agent(s) within a "web" of polymer precipitation polymerization, coacervation of polymeric blends, condensation

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active agent(s) and/or upon the capacity of the carrier. Such determinations are well within the knowledge and the skill of the ordinary skilled artisan Whether loading occurs before or after polymerization will depend on the nature of the The matrix may be loaded with active agent(s) either before or after polymerization.

plasticizers, dispersants, colorants and/or opaquants, extenders, and fillers In addition, either type of microparticle may require other excipients such as

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oxygen reaches the active embedded in the core; and second, the antioxidant in the coating most vulnerable specie, and may also be placed in the coating. Such a coating can serve two This embodiment is preferred where one or more of the active agents are antioxidants. the capsular reservoir and matrix technologies. That is, the active agent(s) may be embeded certain preferred aspects, protective antioxidants can be placed in the core to protect the within a matrix and then the matrix coated to restrict transport of degradizer into the core. Further, where the active agent(s) are unstable it may be further desirable to combine first, the coating isolates the active and may reduce the rate at which reactive

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serves to reduce the limited amount of oxygen in the head space of the container, generally a plastic bottle of tablets or capsules.

agents, improving their stability. crumbling during processing; and chemical agents which protect one or more of the active stabilizing characteristics; plasticizers, which prevent the beadlet from being too fragile and chemical characteristics important to one or both of the actives, such as binding or properties to the beadlet, such as hardness or size characteristics, or may provide important components; fillers, which may be inert components, may impart important physical two or more active agents; crystallizing or solidifying components, which may include agents; polymers, either synthetic or biopolymeric; precipitating coacervating Preferably, the cobeadlet of the invention will include the following components:

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nutrition cap or tablet. Most preferably, the composition will be administered in the form of a tablet, the invention can be administered in many forms, including powder, capsule, caplet, gel invention will be as described above. Typically, the composition for use in the methods of and rosemary. mammal a composition comprising co-beadlets, wherein said co-beadlets comprise DHA health in a mammal. The method of the invention generally includes administering to a tablet also intended to deliver other micronutrients of value in maintaining ocular The present invention further provides a method of maintaining or improving ocular In preferred embodiments, the beadlets for use in the methods of the

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DETAILED DESCRIPTION PREFERRED EMBODIMENTS

ingestion by a host, e.g., human or other mammal used herein, "dietary supplement(s)" or the shortened form, "supplement(s)," refer to any dietary supplement formulations comprising the improved beadlets and methods of use. finished, dietary supplement dosage form containing dietary substances and suitable The present invention is directed to improved beadlet formulations, improved

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isolation of the DHA from the broth have been improved. The result has been a DHA of its oxidation have been observed to possess an objectionable aroma, sometimes described product that has significantly diminished marine odor relative to that obtained from fish oil. or a combination of these technologies. For example, both the fermentation process and the oxidation, by masking the odor with a more acceptable fragrance (e.g., a citrus fragrance), by devising a purer product, by creating carriers capable of protecting the product from by fermentation, such as from algae. Both minor components of DHA and the by-products as a "marine odor." Docosahexaenoic acid (DHA) is an o-3 essential fatty acid derived from fish oil or Producers have attempted to eliminate this drawback of the use of DHA

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source of the DHA. The marine odor was found to increase with time the DHA-containing beadlets were compared with DHA-containing beadlets manufactured when the beadlets were made into tablets, the marine odor was prevalent, regardless of the using fish-oil derived DHA, a significant improvement in the odor was detected. beadlet technology. DHA was encapsulated in a beadlet. In one form, the beadlet was produced using gelatin improve stability of that product and maintain the more odor-free status, the The beadleted DHA was then formed into a tableted product. However,

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the dominant marine odor emanating from the tablets, it would be necessary to encapsulate Initial studies of tableted formulations of DHA oil indicated that in order to control

oil, and beadlets of the algal DHA were least offensive. However, following tableting, appeared to produce a similar effect; namely, that compression was allowing an increasing of the compound to oxidation. The compression of the DHA beadlets to produce tablets this ω -3 fatty acid. Algal DHA possessed a less offensive odor than that derived from fish an antioxidant in sufficient concentration might serve to protect, or assist in protecting, product that generated the more offensive odor. Thus, the present inventor conceived that with the compression of lutein beadlets ... only after compression were they observed to be beadletted DHA exposed to the pressure of tablet compression. fraction of the DHA to be exposed to oxidation, and that it was the oxidized ('rancid') of the offensive odor was regenerated. An analagous instability had been observed It is believed that the effect of compression was to expose an increasing fraction

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(1) all of the oxygen in the head space eventually reacts with antioxidants; (2) any oxygen theory, it is believed that the antioxidants protect the lutein by behaving in specific ways: beadlets with lutein protected the lutein from oxidation. active ingredient. While the DHA has been found to be protected by the rapidly oxidizable antioxidants in the beadlets are "used up" before oxidization can effect the more important which diffuses through the plastic bottle also will react with the confined antioxidants; (3) tocopherols (vitamin E-related compounds) and ascorbates (vitamin C derivatives), in envisioned to be encompassed by the claimed technology. botanically derived antioxidant are anticipated to provide comparable benefit and are components oxygen reacts more rapidly with the most oxidizable antioxidants. was known that including antioxidants safe for human consumption, such in rosemary, other sources of even more rapidly exidizable concentrated Without being bound to any Thus, the

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it acts as a "de-odorizer," masking the marine-odor of the DHA by preventing its oxidation, rosemary in sufficient quantities in a co-beadlet with DHA might serve multiple purposes. and imparting a favorably perceived fragrance ocular health. Second, it acts as a "stabilizer," increasing shelf-life of the product. reduced to water. against reactive oxygen species in metabilizing tissues, in which oxygen eventually is rosemary, are effective in protecting retinas from acute light-induced toxicity. Cellular First, it acts as a second active ingredient, providing protection for the eyes and improving Vitamin E The present inventor has found that some antioxidants, namely vitamins C and E and from the oxidized specie. function in a cascade of reactions in order to protect sensitive organelles For example, ascorbate is known to regenerate the reduced specie of Therefore, the present inventor conceived that

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eliminates the off-odor associated with oxidized DHA while stabilizing the composition, thus increasing its shelf-life. It is contemplated that virtually any beadlet technology, such containing beadlets, such that both "active" ingredients are present in a single beadlet, be useful in the practice of the present invention. as that described in U.S. Patent Nos. 4,254,100; 3,998,753; 4,670,247; and 3,998,753 will The present inventor has found that adding rosemary and/or its components to DHA-

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, (1) conditions which may adversely affect the compound or its subsequent availability. umpleasant "marine" odor, and contributes to its value as an ocular nutrient. rosemary within the same beadlet as the DHA provides even further protection of the DHA the encapsulation protects the active compound(s) from exposure to oxidation and other oxidation and other conditions which may cause the production of the characteristic Stability of the beadlet in the present context refers to good containing properties,

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uni microencapsulated DHA/rosemary-containing composition contains 0.1% to 40% of DHA. proteins, polyethylene glycols, cellulose derivatives (e.g., methyl cellulose, hydroxypropyl 600 μm, though occasional large particles up to 800 μm and small fines of as small as 10 wherein the particle size of the DHA/rosemary beadlets range from about 100 μm to about additives. Examples of suitable fillers include starch, pectins, carrageenans, xanthan gums, invention are suitable for tablet preparation, hard shell capsule filling and incorporating in cellulose and ethyl cellulose) and other polysaccharides DHA/rosemary mixtures employed herein may further comprise fillers, excipients or different foods. may According G G acceptable as well. The microencapsulated compositions of the According to a particular embodiment of the present invention, the 5 ω particular embodiment O£ the present invention, present the

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increasing stability and shelf-life. The advantages of the claimed invention derive from the presence of the DHA/rosemary relatively high content of the active agents and improved bioavailability of the active agents containing DHA and rosemary having virtually no offensive odor, with improved stability, gelatin free grade, i.e., suitable for use in tableting. Preferred compositions of the present invention are mixtures together in the same beadlet thus decreasing or eliminating offensive odors and The present invention is advantageous in that it describes microcapsules, or beadlets. The microcapsules of the present invention are tablet

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rosemary) from oxidative damage accompanying exposure to oxygen in the package headspace and/or transported across the package barrier during the shelf-life storage of the forces of 15 SCUor greater and preferably 20 SCU or greater occurring during tableting so The beadlets of the invention are capable of protecting the active agents (DHA and The beadlets of the invention are further capable of withstanding the compressive

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PCT/US03/08558

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in efficacy. that the confined antioxidants of the final product are compromised neither in stability nor

of all the tablet antioxidants, whereas the rosemary contained within the beadlets provides rosemary are utilized. The amount of rosemary in the body of the tablet preserves activity container is LDPE or the equivalent in oxygen transmissability, and the protective beadlet [above]) generally will be greater than 3 mg / tablet and preferably greater than 5 mg rosemary in the body of the tablet (not included in the amount contained in / on the carrier themselves (which may or may not be confined in beadlets), such that the most oxidizable nutritional and therapeutic value, as well as stabilization for the beadlet. antioxidant is unfortified rosemary oil. As will be well understood by those trained in the antioxidants (carotenoids, xanthophylls, vitamins, other polyphenolics, etc.). The amount of component, generally the antioxidants found in rosemary, is present in sufficient abundance containing both the beadlets with the properties defined above as well as other antioxidants tablet when these tablets are packaged conventionally in bottles of 60 tablets and the react and deactivate the oxygen in the head space, and protect all of the remaining the amount may be reduced if concentrated forms of the reactive antioxidants in The present invention additionaly provides a composition of the dosage form,

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disclosure, appreciate that many changes can be made in the specific embodiments which modes well in the practice of the invention, and thus can be considered to constitute preferred in the examples which follow represent techniques discovered by the inventor to function invention. for The following examples are included to demonstrate preferred embodiments of the SES It should be appreciated by those of skill in the art that the techniques disclosed practice. However, those of skill in the art should, in light of the present

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are disclosed and still obtain a like or similar result without departing from the spirit and scope of the invention.

Example 1

Excipients for gelatin-based cobeadlets

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rosemary, or the components thereof. Specific examples of the technology include excipients contained in a complete tablet. Examples of cobeadlets include: composition of the cobeadlets, composition of an optional coating, and ingredients and In the following examples of the technology, actives and coactives refer to DHA and

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Water and Ca:	Sucrose ester:	Ethoxylated glycerides:	Lyc-o-rose (rosemary):	Coating Herbalox type O (rosemary)	Coating Hydroxypropyl cellulose (Klucel)	Silicon dioxide:	Isolated soy protein:	Sodium alginate:	Core Coactives:	Excipients for nongelatin-based cobeadlets	Example 2	Water	Coating Corn Starch and cellulose	Coating Rosemary (LycoRose)	Ascorbyl Palmitate	Sucrose	Hydrolyzed Gelatin	Vegetable oil and Oleoresin	Core Coactives
-5 %	~ ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° ° °	*.00 %	1.0 %	4.80%	~ A.	2 %	16.5 %	24.8%	24 %	eadlets		5 %	** 6 %	₩ %	3	- W	27.5 %	*******	** °

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Example 3

Examples of the coating technology

Excipients for a coating of cobeadlet cores, especially for a non-gelatin based core

Acetone	Methanol	Hydroxypropylcellulose	Active or Coactives
51%	30%	16%	٧%

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À Excipients for a coating of cobeadlet cores, especially for a gelatin-based core

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Water	Cellulosic	Corn Starch	Active or Coactives
60%	22%	10%	4%

Example 4

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Examples of actives in tablet and capsule formulations

useful for maintaining ocular health

A. Actives in an ophthalmic formulation

Rosemary (minimum)	hexaenoic acid [22:603])	DHA (docosa-	Zeaxanthin (min)	Lutein (max)	Zinc (acetate)	Selenium	Manganese	Copper	Vitamin E	Vitamin C	Vitamin B-12	Folate	Vitamin B-2	(β-carotene)	Vitamin A			Ingredient
N.		s	ww	2	7.5	20	(A	0.5	15	200	ω	100	ia	1.5	2,500	(per tablet)	Rev 3	icaps
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B. Actives & excipients (indented) in an ophthalmic formulation:

Ascorbic Acid Hydroxypropyl Methylcellulose Celatin

dl-Alpha Tocopherol Acetate Magnesium Stearate Microcrystalline Cellulose Dicalcium Phosphate Sucrose

Silicon Dioxide

Manganese Amino Acid Chelate Zinc Acetate Dihydrate Com Starch

Water

Sodium alginate

Selenium Amino Acid Chelate Soy protein (isolated)

Hydroxypropyl cellulose (Klucel) Titanium Dioxide

DHA (in oil carrier) Fatty acids (DHA excipients)

Copper Amino Acid Chelate

Riboflavin Polyethylene Glycol

Lutein / Zeaxanthin

Water and Ca

Ethoxylated glycerides

Ascorbyl Palmitate

Beta Carotene

Rosemary (from Herbalox type O)

dl-Alpha Tocopherol Sodium Ascorbate

Zeaxanthin

Canola oil (Herbalox excipient)

Soybean oil (Herbalox excipient)

Excipients

Sorbic Acid

Polysorbate 80

Sodium Benzoate

Folic Acid

Vegetable oil (Lyc-o-Rose excipient) Camauba Wax

Cyanocobalamin

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Example 5

nearly full, low-headspace, sealed plastic bags until the tablets can be coated, a hiatus that headspace, sealed plastic bags. As quickly as possible, eliminating any significant delays, are blended and granulated to form a homogenous mass, which is stored in nearly full, lowingredients, all core actives and excipients including the cobeadleted DHA and rosemary, will be kept to a minimum. The tablets are finally spray coated, dried, and packaged. the blend is tableted to a hardness of approximately 22 SCU, and the tablets again stored in Manufacture of tablets from the microcapsules described in Examples 1 and 2. The

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embodiments, it will be apparent to those of skill in the art that variations may be applied to the compositions and/or methods and in the steps or in the sequence of steps of the method compositions and methods of this invention have been described in terms of preferred and executed without undue experimentation in light of the present disclosure. While the deemed to be within the spirit, scope and concept of the invention as defined by the structurally related may be substituted for the agents described herein to achieve similar More described herein without departing from the concept, spirit and scope of the invention. appended claims. specifically, it will be apparent that certain agents which are both chemically and All of the compositions and/or methods disclosed and claimed herein can be made All such substitutions and modifications apparent to those skilled in the art are

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References

reference. other details supplementary to those set forth herein, are specifically incorporated herein by The following references, to the extent that they provide exemplary procedural or

United States Patents

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3,998,753

4,254,100

4,670,247

We Claim:

A cobeadlet comprising docosahexaenoic acid (DHA) and rosemary.

- 2. The cobeadlet of claim 1, wherein said beadlet is odorless.
- w The cobeadlet of claim 1, wherein said DHA is obtained by fermentation from algae.

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- A The cobeadlet of claim 1, further comprising at least one antioxidant other than
- Ņ about 50% and the concentration of rosemary is from about 0.1% to about 50%. The cobeadlet of claim 1, wherein the concentration of DHA is from about 0.1% to
- Ġ about 2% to about 20% rosemary. The cobeadlet of claim 5, comprising from about 7 % to about 25% DHA and from

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- 7 wherein said co-beadlets comprise DHA and rosemary. comprising administering to said manunal a composition comprising co-beadlets, A method of maintaining or improving ocular health in a mammal, said method
- œ about 50% DHA and about 0.1% to about 50% rosemary. The method of claim 7, wherein said composition comprises from about 0.1% to

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- The method of claim 8, wherein the composition is in the form of a tablet.
- 10. A tablet comprising the cobeadlet of claim 1.
- 11. The tablet of claim 10, further comprising as excipients outside of the cobeadlets, at least 3 mg rosemary oil.

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<u>س</u> الم The tablet of claim 11, wherein the tablet comprises at least 5 mg rosemary oil.

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A. CLASSIFICATION OF SUBJECT MATTER: US CL. :

484/464, 485, 485, 486, 488, 488, 490, 484, 485, 484, 485, 487, 488